

4.4 - 4.5 SPANNING SETS AND LINEAR INDEPENDENCE; BASIS AND DIMENSION.

First we notice that  $n$  vectors cannot be linearly independent in  $\mathbb{R}^m$  if  $n > m$ . Further, if we do not have enough vectors a linear combination will not be able to create any other vector in the space. Lets see what this means about the dimension of the space.

**Definition 1.** If a vector space  $V$  consists of all linear combinations of  $w_1, \dots, w_n$ , then these vectors span the space. Every vector  $v \in V$  is some combination of  $w^i$ s; i.e.,  $v = c_1w_1 + \dots + c_nw_n$ .

For example

$$w_1 = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \quad w_2 = \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \quad w_3 = \begin{bmatrix} -2 \\ 0 \\ 0 \end{bmatrix}$$

spans the  $x - y$  plane in  $\mathbb{R}^3$ . However, we notice that they are not linearly independent since  $w_3 = -2w_1$ .

**Definition 2.** A basis for  $V$  is a sequence of vectors having the following two properties:

- (1) The vectors are linearly independent (not too many vectors)
- (2) They span the space  $V$  (not too few vectors)

We sketched this in class. Make sure you understand that picture.

**Definition 3.** Any two bases for a vector space  $V$  contains the same number of vectors. This number, which is shared by all bases and expresses the number of “degrees of freedom” of the space, is the dimension of  $V$ .

This leads us to a couple of theorems.

**Theorem 1.** If  $v_1, \dots, v_m$  and  $w_1, \dots, w_n$  are both bases for the same vector space, then  $m = n$ .

**Theorem 2.** Any linearly independent set in  $V$  can be extended to a basis, by adding more vectors if necessary. Any spanning set in  $V$  can be reduced to a basis, by discarding vectors if necessary.

Now lets look at some examples on pg. 184

- 1) Do check if vectors are linear combinations, we just assume they are using  $c_1$  and  $c_2$ , then we check if  $c_1$  and  $c_2$  are nontrivial.
  - a)

$$c_1 \begin{bmatrix} 2 \\ -1 \\ 3 \end{bmatrix} + c_2 \begin{bmatrix} 5 \\ 0 \\ 4 \end{bmatrix} = \begin{bmatrix} 2c_1 + 5c_2 \\ -c_1 + 0 \\ 3c_1 + 4c_2 \end{bmatrix} \stackrel{?}{=} \begin{bmatrix} -1 \\ -2 \\ 2 \end{bmatrix}$$

This gives us  $c_1 = 2, c_2 = -1$  and the other equation is satisfied. So,

$$z = 2 \begin{bmatrix} 2 \\ -1 \\ 3 \end{bmatrix} - \begin{bmatrix} 5 \\ 0 \\ 4 \end{bmatrix}$$

- c) We can just use the addition from above

$$\begin{bmatrix} 2c_1 + 5c_2 \\ -c_1 + 0 \\ 3c_1 + 4c_2 \end{bmatrix} \stackrel{?}{=} \begin{bmatrix} 1 \\ -8 \\ 12 \end{bmatrix}$$

Then  $c_1 = 8, c_2 = -3$ , and the other equation is satisfied, so

$$w = 8 \begin{bmatrix} 2 \\ -1 \\ 3 \end{bmatrix} - 3 \begin{bmatrix} 5 \\ 0 \\ 4 \end{bmatrix}$$

- d) We do the same as the last two

$$\begin{bmatrix} 2c_1 + 5c_2 \\ -c_1 + 0 \\ 3c_1 + 4c_2 \end{bmatrix} \stackrel{?}{=} \begin{bmatrix} 1 \\ 1 \\ -1 \end{bmatrix}$$

Then plugging into the second equation gives us  $c_1 = -1$ , and the first gives  $c_2 = 3/5$ , but  $3c_1 + 4c_2 \neq 1$ , so we cannot write  $u$  as a linear combination of the vectors in  $S$ .

- 9)  $S$  does span  $\mathbb{R}^2$ , which we showed graphically, but also if it didn't, then  $s_1$  would be a multiple of  $s_2$ , but if  $s_1 = cs_2 \Rightarrow c = 0$ .
- 11) Same reasoning as (9).
- 19)  $S$  spans  $\mathbb{R}^3$ . We saw that we can write  $x_1, x_2, x_3$  independently as functions of  $c_1, c_2, c_3$ , but I showed a better way in the next section.
- 21)  $S$  does not span  $\mathbb{R}^3$ . Not enough vectors, but it does span the plane.
- 27) These are linearly independent since
- $$c_1 \begin{bmatrix} -2 \\ 2 \end{bmatrix} + c_2 \begin{bmatrix} 3 \\ 5 \end{bmatrix} = \begin{bmatrix} -2c_1 + 3c_2 \\ 2c_1 + 5c_2 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix} \Rightarrow c_2 = 2c_1/3 \text{ and } c_2 = -2c_1/5 \Rightarrow c_1 = c_2 = 0.$$
- 29) Any set with the zero vector is linearly dependent.

Now lets look at some problems from pg. 193

- 1) The vectors will be  $e_1, \dots, e_6$  where  $e_i$  has a 1 in the  $i^{\text{th}}$  entry and zero elsewhere.
- 7) Linearly dependent because of the zero vector.
- 9) Not enough vectors.
- 21) Linearly dependent.
- 39) Linearly independent and spans the space, so it does form a basis. Notice that it does have enough vectors and we can show it is linearly independent graphically or by solving

$$c_1 \begin{bmatrix} 4 \\ -3 \end{bmatrix} + c_2 \begin{bmatrix} 5 \\ 2 \end{bmatrix} = \begin{bmatrix} 4c_1 + 5c_2 \\ -3c_1 + 2c_2 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \end{bmatrix} \Rightarrow c_1 = -5c_2/4 \text{ and } c_1 = 2c_2/3 \Rightarrow c_1 = c_2 = 0.$$

- 41) Same as the previous problem and

$$c_1 \begin{bmatrix} 1 \\ 5 \\ 3 \end{bmatrix} + c_2 \begin{bmatrix} 0 \\ 1 \\ 2 \end{bmatrix} + c_3 \begin{bmatrix} 0 \\ 0 \\ 6 \end{bmatrix} = \begin{bmatrix} c_1 \\ 5c_1 + c_2 \\ 3c_1 + 2c_2 + 6c_3 \end{bmatrix} = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix} \Rightarrow c_1 = c_2 = c_3 = 0.$$